

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : C12N 15/62, 15/56, 15/11, 15/81, 9/44, 1/19		A1	(11) International Publication Number: WO 95/09922
(21) International Application Number: PCT/US94/11242		(43) International Publication Date: 13 April 1995 (13.04.95)	
(22) International Filing Date: 4 October 1994 (04.10.94)		(81) Designated States: CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(30) Priority Data: 08/132,648 5 October 1993 (05.10.93) US		Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.	
(71) Applicant: MILLER BREWING COMPANY [US/US]; 3939 West Highland Boulevard, Milwaukee, WI 53208 (US).			
(72) Inventor: BOWER, Patricia, A.; 1927A North 59th Street, Milwaukee, WI 53208 (US).			
(74) Agents: RYSER, David, G. et al.; Quarles & Brady, 411 East Wisconsin Avenue, Milwaukee, WI 53202-4497 (US).			

(54) Title: CLONED PULLULANASE

pSEY210

MpaI - SUC2

Pullulanase

Glu Ala Glu Ala Phe  
-----GAG GCT GAA GCT TTC-----  
HindIII

Gln Gly Phe Val  
CAG GGG TTC GTG-----

MpaI prepro

Pullulanase

Glu Ala Glu Ala  
-----GAG GCT GA  
-----CTC CGA CTT CGA

Phe Val  
AGCT TTC GTG-----  
AAG CAC-----

MpaI

Pullulanase

Glu Ala Glu Ala Phe Val  
-----GAG GCT GAA GCT TTC GTG-----  
-----CTC CGA CTT CGA AAG CAC-----  
HindIII

(57) Abstract

A method is disclosed for the expression of an active pullulanase enzyme in a microorganism host. In one aspect, a DNA construct contains a sequence encoding the pullulanase enzyme, except for the nucleotides necessary to encode the first two amino acids in mature pullulanase, and regulating sequences allowing expression of the coding sequence in a microorganism host. An advantageous DNA construct contains regulatory sequences permitting expression of the pullulanase in a yeast cell.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

-1-

CLONED PULLULANASEBackground Of The InventionTechnical Field

The present invention relates generally to the  
5 manipulation of genetic materials and particularly to the  
manufacture and use of specific DNA sequences useful in  
recombinant procedures to secure the production of  
peptides having one or more of the properties of  
pullulanase enzymes. More particularly, the present  
10 invention relates to a method for the expression of  
pullulanase enzymes in yeast.

Background Art

Pullulanase is a debranching enzyme which can be  
used in the brewing industry to make low calorie beer and  
15 in the beverage industry to make high dextrose syrups.  
See, for example, U. S. patents 4,355,110 and 4,355,047.  
These patents, as well as any other patents and/or  
references hereinafter referred to, are hereby  
incorporated by reference as if fully set forth herein.  
20 The pullulanase gene has been isolated, sequenced  
and characterized from bacterial organisms. For example,  
see, Kuriki, et al., 170 J. Bacteriology, 1554 (1988).  
Rice and other grains have been known to contain

-2-

pullulanase. For instance, U. S. patent 4,355,110 discloses the presence of pullulanase in rice.

The pullulanase enzyme can be isolated from rice by the method disclosed in U. S. patent 4,355,110. One  
5 problem with this approach, however, is that a great deal of waste byproduct is generated. One is therefore faced with disposal problems associated with this waste.

Another alternative source of pullulanase is from bacterial cultures. However, the use of bacteria may  
10 have certain negative connotations with the public. Also, bacterial pullulanase is generally less active than rice pullulanase.

Accordingly, there is a need for an alternative supply of rice pullulanase enzyme for use in making low  
15 calorie beer or high dextrose syrups. The present invention overcomes the aforementioned problems in providing a yeast that is made to express, properly process, and secrete the rice pullulanase enzyme.

Yeast is considered to be a better host organism for  
20 the production of food processing ingredients because it is generally regarded as safe and it can be made to express, properly process and secrete certain heterologous proteins. The problem is that some proteins cannot be produced in yeast (for example, some are toxic)  
25 and others cannot be properly processed and/or secreted. Each protein must be handled on a case-by-case basis with the probability of success impossible to predict a priori.

The present invention overcomes these problems by  
30 providing an expression construct that is capable of directing the expression of a mature pullulanase enzyme in yeast. The invention is more surprising in that the construct expresses an enzyme that does not mimic the natural rice pullulanase amino acid sequence.

35 The phrase "mature pullulanase" refers to the pullulanase isolated from rice seed. In the mature pullulanase the methionine or a peptide containing the methionine is assumed to have been removed during post

-3-

translational modification. However, the mRNA sequence must have a methionine residue encoded since it is the translation initiation codon. This is one of the problems that had to be overcome when expressing the pullulanase enzyme in a yeast system as in the present invention.

### Disclosure Of The Invention

One aspect of the invention provides a DNA construct capable of expressing an active pullulanase enzyme which comprises a sequence encoding the pullulanase enzyme, wherein the sequence does not include the nucleotides necessary to encode the first two amino acids in mature pullulanase, and regulatory sequences allowing expression and secretion of the coding sequence in a microorganism host.

A preferred aspect of the invention is the above DNA construct having regulatory sequences which permit expression and secretion in yeast.

Another preferred aspect of the invention is the DNA construct having the coding sequences of SEQ ID NO: 2 and, still more preferred, wherein the regulatory sequences include the promoter and secretion signals from the yeast structural gene, MF $\alpha$ 1, which encodes the  $\alpha$ -factor mating pheromone.

Another aspect of the invention is a cloned pullulanase enzyme where the pullulanase does not contain the first two amino acids of mature pullulanase.

Still another aspect of the invention is a DNA construct comprising a coding sequence homologous to that of SEQ ID NO: 7 wherein the homology is sufficient so that the gene is capable of expressing an active pullulanase enzyme. A preferred coding sequence is one comprising SEQ ID NO: 7.

The invention thus provides a DNA construct capable of expressing and secreting an active pullulanase enzyme and a cloned pullulanase lacking the first two amino

-4-

acids of mature pullulanase. The active pullulanase of this invention is useful in low calorie beer and high dextrose syrup manufacturing.

One advantage of the present invention is that active pullulanase enzyme may be obtained from non-bacterial hosts and without the waste associated with isolation of the enzyme from rice.

These and still other objects and advantages of the present invention will be apparent from the descriptions below.

#### Brief Description Of The Drawings

Fig. 1 is a schematic diagram of the amino acid sequence generated when a pullulanase clone is attached to an M<sub>F</sub>ol sequence in pSEY210.

Fig. 2 is a diagram of PCR amplification of the 5' region of the pullulanase genomic clone.

Fig. 3 is a diagram of the PCR amplification of the 3' region of the pullulanase cDNA clone.

Fig. 4 is a diagram of the creation of pPB/3'pul-8.6kb and pPB/5'-3'pul-8.73kb.

Fig. 5 is a diagram of the creation of pPB/pullulanase-10.9kb.

Fig. 6 is a graph of pullulanase activity for a yeast transformant of the present invention.

#### Best Modes For Carrying Out The Invention

##### A. In General

The present invention is a DNA construct capable of expressing and secreting an active pullulanase enzyme. In one embodiment, this construct contains a pullulanase coding region that is missing the region encoding the first two amino acids of mature pullulanase. The construct also contains regulatory regions suitable to express the cloned pullulanase in microorganisms. Preferably, the microorganism is yeast and the regulatory

-5-

regions include the MF $\alpha$ 1 promoter and secretion leader sequences (which contains the translational initiation codon methionine) and termination and polyadenylation signals.

5           In brief, the present invention is preferably created by isolating both pullulanase genomic and cDNA clones. However, those skilled in the art of microbiology will envision other possible biochemical methods to derive the genetic construct and amino acid  
10 sequence described below such as antibody and homology screening.

          The Examples below also disclose a preferable method of creating the fusion between the yeast MF $\alpha$ 1 promoter and secretion signal and the pullulanase cDNA to create  
15 the two amino acid deletion preferred for the present invention. In this Example, a 5'-region of the genomic clone was amplified using a primer that contained nucleotide sequences necessary to connect the pullulanase sequence at the third amino acid to the MF $\alpha$ 1  
20 promoter/signal sequence. However, if other regulatory regions or a different expression system, e.g. ADHI, were required these regions could also be attached to a primer containing nucleotides corresponding to the pullulanase sequence beginning with the third amino acid or any amino  
25 acid in the pullulanase sequence including adding additional amino acids to the mature pullulanase. In this manner, one would obtain an expression construct, as in the present invention, which would be the sequence of the pullulanase gene minus the first two amino acids or  
30 mature pullulanase with various amino acid additions or deletions to the amino terminal end.

          Once the expression construct of the pullulanase enzyme is obtained, it is necessary that this expression construct be placed in a suitable vector containing  
35 appropriate sequences required for the propagation of the vector in a yeast host.

-6-

B. Creation Of A Pullulanase cDNA Clone

The Examples below disclose the creation of an especially suitable pullulanase coding region. As the Examples disclose, one first isolates a pullulanase gene.

5 Preferably, the isolation is of a rice pullulanase gene.

As in the Examples below, one would first isolate genomic DNA from the pullulanase-containing organism, digest this DNA with restriction endonucleases and insert these DNA fragments into suitable vectors. These genomic  
10 clones would be screened with a probe created using the known amino acid or nucleotide sequence of the pullulanase gene or enzyme to determine which clones contained the pullulanase gene. SEQ ID NO: 1 describes the sequence of the mature pullulanase gene. The  
15 Examples below disclose a preferred method for screening the genomic clones.

To create a pullulanase cDNA clone, one would most preferably proceed as in the Examples below. cDNA is prepared from rice mRNA by methods known in the art.  
20 This cDNA is inserted into suitable vectors and screened for the presence of pullulanase-containing clones. The examples below describe the screening of cDNA library with two genomic DNA fragments.

After cDNA clones have been created that contain  
25 both the 5'-end and 3'-end of pullulanase, an expression construct is typically created. By "expression construct" we mean a nucleotide sequence designed to be translated into an active pullulanase gene. For example, the expression construct would not contain introns found  
30 in the genomic clone. SEQ ID NO: 2 lacks the first amino acids of the native protein but contains suitable 3' sequences. It is SEQ ID NO: 2 which is the preferred expression construct of the present invention.

The Examples below disclose a preferred method of  
35 creating such a construct. In the Examples, the 147 nucleotide 5'-end of the pullulanase gene was amplified via standard PCR methods, using pullulanase genomic clone 9-2 as the target DNA, in such a manner that the first



-7-

two amino acids were absent after amplification. This was done by use of a PCR primer that contained a HindIII site and a nucleotide sequence beginning with the third amino acid of native pullulanase. Fig. 1 in the examples below and SEQ ID NOs:3 and 4 describe preferable primers.

Next, the 3'-end of the pullulanase gene is amplified using cDNA clone 6-1 as the target DNA. The examples below disclose that a 0.7 kb fragment is created. In this example the fragment also contains part of the 3' untranslated region which contains the rice transcriptional termination and polyadenylation signals. These structures are similar in sequence to the yeast structures and may function in yeast. Both transcriptional termination and polyadenylation signals have been shown to be necessary for proper expression in yeast (Romanos et al. YEAST 8:423 (1992)).

These two fragments are combined by methods known in the art via appropriate restriction sites with a 2.3 kb portion of the pullulanase cDNA clone to create a full-length pullulanase expression construct. The resulting expression construct contains the exact coding sequence for the pullulanase enzyme with the exception of the omission of the first two amino acids.

Preferably, the expression construct is placed in a vector containing suitable sequences for expression in a yeast system (as discussed above) or as an autonomously replicating plasmid or integrated into the host chromosome. An especially preferred vector is pSEY210 which contains MF $\alpha$ 1 promoter and secretion leader sequences but no transcriptional termination or polyadenylation signal.

Once the expression construct is created, one will have to express it and test for pullulanase activity. The Examples below disclose appropriate expression strategies. The enzymatic assay is also most preferably done as described below, although other assays designed to evaluate the activity of a pullulanase enzyme would be equally appropriate.

-8-

C. Microorganism Hosts

The pullulanase expression construct of the present invention is capable of expression in other suitable microorganism hosts. One would obtain the DNA region  
5 containing the pullulanase coding region (the "expression construct") and insert it in a suitable vector containing suitable regulatory signals for other microorganism hosts. Representative examples would include E. coli, Bacillus, Aspergillus, Pichia, or Kluyveromyces.

10

EXAMPLESA. In General

The Examples below disclose the creation, isolation, and characterization of a pullulanase-specific probe; the isolation and characterization of pullulanase genomic and  
15 cDNA clones from a rice genomic and cDNA libraries; and the creation of a pullulanase expression construct. The expression construct is obtained by amplification of 3' and 5' segments of the pullulanase cDNA and genomic clones, respectively, and combination of these amplified  
20 fragments with a pullulanase cDNA clone. This expression construct does not contain the first two amino acids of mature pullulanase.

This expression construct was placed in a yeast expression vector, pSEY210. From this vector, active  
25 pullulanase enzyme was expressed and measured.

B. Creation of Pullulanase Genomic and cDNA Clones1. Isolation of a Pullulanase Specific Probe.

The preferred method relies on amino acid sequence information from the pullulanase protein and peptide  
30 fragments generated by cyanogen bromide digestion and PCR technology to isolate a pullulanase specific probe. Using this probe both rice genomic and cDNA libraries can be screened for pullulanase genes. Three CNBr pullulanase peptide fragments were isolated and partial  
35 amino acid sequences were determined.

-9-

a. Pullulanase Amino Acid And PCR Primer Sequences

Rice genomic DNA was amplified using PCR technology with mixed oligonucleotide primers based on the amino acid sequence information from the pullulanase amino-terminal end and a 41.0 kd pullulanase CNBr peptide. Under these PCR conditions (below), primers 20-5' (SEQ ID NO: 8) and 41-3'b (SEQ ID NO: 9), an approximately 675 bp genomic PCR product was isolated. PCR primers were made for two other CNBr fragments but they produced no PCR products. The 675 bp PCR product was subcloned into an appropriate vector (in this case the SmaI site of bacterial vector pUC18) and DNA sequence analysis confirmed, based on a comparison with the amino-terminal amino acid sequence data of pullulanase, it contained a portion of the amino-terminal end of the pullulanase gene. This probe was designated pul-1.

b. PCR Conditions

PCR amplifications were done using the GeneAmp DNA amplification kit according to the instructions of Perkin-Elmer Cetus and a Perkin-Elmer Cetus DNA Thermal Cycler. The following conditions were used: One microgram of rice genomic DNA (boiled before use to facilitate PCR reaction) and one microgram each of amino-terminal primer SEQ ID NO: 8 and 9 were added to the reaction mix and amplified using the following temperature profile: (one cycle) 95°C for 2 min.; (30 cycles) 94°C 1 min., 55°C 1 min., 72°C 3 min.; (one cycle) 72°C 10 min. Due to the complexity of the rice genome, a ten microliter aliquot of the first PCR amplification reaction mixture was taken and amplified a second time using the same PCR conditions and primer concentrations as before.

2. Screening the Rice Genomic and cDNA Libraries.

A rice genomic library (Oryza sativa L. (indica) var. IR 36), constructed in Lambda phage EMBL-3 SP6/T7,

-10-

was purchased from Clontech, Palo Alto, CA. The library was screened as outlined in Maniatis, et al., Molecular Cloning: A Laboratory Manual (1982). The hybridization probe (pul-1) was isolated (GeneClean, Bio 101, LaJolla, CA) as a KpnI/BamHI fragment from pUC18 and radioactively labelled using the Dupont/NEN Research Products (MA) [<sup>32</sup>P] dCTP-nick translation system. High titer lysates were prepared from "tentative" pullulanase-positive recombinant phage (Silhavy, et al., Experiments with Gene Fusions (1984)) and several clones were chosen for a second screening.

### 3. Characterization of "Tentative" Rice Pullulanase Genomic Clones.

#### a. Method.

Four "tentative" pullulanase clones were chosen, based on the strength of the initial hybridization signal, for restriction enzyme mapping. Recombinant phage were isolated, by ultracentrifugation, from 50 milliliter lysates. The phage DNA was extracted from the phage pellet using phenol/chloroform. The pullulanase PCR fragment (pul-1) was used to determine the restriction map of the clones. Because pul-1 represents the amino-terminal end of the pullulanase, the restriction fragment containing the amino-terminal end of each genomic clone was readily identifiable.

#### b. Analyses.

##### i. Restriction Enzyme Digestion.

Because genomic clone 9-2 contained the largest DNA insert, it was chosen for complete restriction enzyme mapping. When this clone was digested with XhoI, two fragments were shown to hybridize to the pul-1 probe. This indicates there is an internal XhoI site in the pul-1 probe.

-11-

ii. Orientation of the Genomic Clone.

The presence of a XhoI site in the clone made it possible to easily determine the orientation of the genomic DNA relative to the amino-terminal end of the clone. The pul-1 probe was isolated by PCR amplification using primers SEQ ID NO: 8 (amino terminal amino acid sequence) and SEQ ID NO: 9 (41.0 kd CNBr fragment). These primers flank the internal XhoI restriction site in the genomic clone. By using the 41-3'b PCR primer to probe the XhoI digested Southern blots (Southern, J. Mol. Biol. 98, 503 (1975)) the 8.0 kbp XhoI fragment which represents the 3'-end of the "tentative" pullulanase was identified. A 4.3 kbp BamHI fragment was isolated from this XhoI fragment to be used to probe the rice cDNA library.

4. Isolation and Characterization of a Rice Pullulanase cDNA Clone.a. Screening the Rice Flowering Stage cDNA Library for Pullulanase.i. cDNA Library.

The rice flowering stage cDNA library was purchased from Dr. Susan Wessler, U. of Georgia, Athens. It was constructed in a Lambda gt10 phage vector and used Nato rice CI 8998 mRNA.

ii. Hybridization Probes and Primary Library Screening.

The 4.3 kbp BamHI genomic clone 9-2 fragment was used to screen 180,000 recombinant phage using standard procedures (Maniatis, et al. supra 1982). Ten positive plaques were found. High titer lysates (Silhavy, et al., 1984) were prepared and the cDNA clones were screened a second time.

iii. Second cDNA Library Screen.

Two duplicate filters were made of the ten positive recombinant phage clones and hybridized with different

-12-

probes, i.e. pul-1 and the BamHI (4.3 kbp) genomic clone fragment (Maniatis, et al., supra. 1982). The BamHI probe will identify any pullulanase cDNA clone because it represents a large portion of the 3'-end of the

5 pullulanase gene. If the pul-1 probe hybridizes to a cDNA clone this would be an indication that the entire or almost the entire gene was present because this probe represents the 5'-end of the gene. Of the ten cDNA clones that hybridized to the BamHI probe only one

10 hybridized to the pul-1 probe. This clone was designated "cDNA clone 6-1".

iv. Restriction Enzyme Mapping the cDNA clone.

A restriction enzyme map was determined for the

15 pullulanase cDNA clone 6-1 in a similar manner as for the genomic pullulanase genomic clones. The pullulanase insert was removed from the Lambda gt10 vector as two EcoRI fragments, 2.5 kbp and 0.44 kbp. Both fragments were subcloned into an appropriate vector and designated

20 pPB/2.5pullulanase and pPB/.44pullulanase.

b. Confirmation of Pullulanase Authenticity by DNA Sequence Analysis.

A partial nucleotide sequence of genomic clone 9-2 and cDNA clone 6-1 were determined, according to the

25 dideoxy sequence method (Sanger, et al. Proc. Nat'l Acad. Sci USA 74:5463 (1977)). Based on known amino acid data, they were confirmed as authentic pullulanase clones.

5. Pullulanase DNA Sequence Analysis.

Five restriction fragments of the pullulanase cDNA

30 clone 6-1 were subcloned into the appropriate restriction sites of Bluescript SK+ sequencing vector (Stratagene, LaJolla, CA). The entire base sequence of cDNA clone 6-1 was determined (Sanger, et al., supra)

The DNA sequence analysis of cDNA clone 6-1 showed

35 the first 13 amino acid residues of the mature

-13-

pullulanase protein were not present in its DNA sequence. The actual DNA sequence for these amino acids was determined by DNA sequence analyses of the pullulanase PCR fragment pul-1 and genomic clone 9-2. Further, the DNA sequence analyses of the amino-terminal end of the pullulanase genomic clone 9-2 revealed no in-frame methionine codon (translational initiation codon). The primary translation product of the pullulanase mRNA may contain a signal sequence responsible for transporting the pullulanase from one part of the plant to another, a sequence responsible for maintaining the stability of the enzyme (pullulanase may be a proenzyme, such as ribonuclease), or a single methionine. Each of these protein sequences could have been removed during protein transport or maturation (processing). The pullulanase amino acid sequence information in SEQ ID NO: 7 represents the mature, processed protein.

SEQ ID NO: 1 shows the entire nucleotide sequence for the mature pullulanase enzyme. The coding region of the mature pullulanase has 2646 bp (882 amino acid residues). An additional 342 bp consists of the 3'-untranslated region which contains the rice transcriptional termination and polyadenylation signals. The calculated molecular weight of pullulanase is 98695 daltons and the pI=5.39. There are potentially nine glycosylation sites, Asn Xaa Ser/Thr. There are also nine cysteine residues, potential cross-linking sites.

C. Expression Of Rice Pullulanase In Saccharomyces Cerevisiae.

The following cloning strategy was developed to express the rice pullulanase gene in *Saccharomyces*. The pullulanase gene regulatory cassette for yeast expression consisted of the yeast MF $\alpha$ 1 promoter and secretion signal (which contains the translational initiation codon methionine), and the rice transcriptional termination and polyadenylation signals. This pullulanase regulatory cassette with the pullulanase gene would be combined with

-14-

the appropriate plasmid and introduced into a suitable host as a autonomously replicating plasmid or integrated into the chromosome. The pullulanase will be secreted into the medium where it can be isolated and assayed for pullulanase activity by methods known in the art.

The preferred expression vector was pSEY210 MF $\alpha$ 1-SUC2 (Emr, et al., Proc. Nat'l Acad Sci USA 80:7080, 1983) a 2 micron based, high copy plasmid which carries both the MF $\alpha$ 1 promoter and secretion signal but no transcriptional termination signal. The termination signal in this vector would be removed when the SUC2 gene is excised. Other expression vectors with different promoter or promoter-secretion signals would also be suitable.

It is essential to maintain a proper reading frame at the junction of the MF $\alpha$ 1 secretion signal (HindIII site) and the pullulanase gene. The pullulanase gene could not be directly combined to the MF $\alpha$ 1 secretion signal because there was more than one HindIII site in the pullulanase gene. As a result, specific fragments of the gene were isolated and cloned into the MF $\alpha$ 1 expression vector in phases described below. The construction of the MF $\alpha$ 1 pullulanase expression vector was facilitated by the presence of two unique restriction enzyme sites in the pullulanase cDNA clone, HpaI at the 5'-end and KpnI at the 3'-end. SEQ ID NO: 2 describes the pullulanase sequence that was expressed.

Polymerase chain reaction technology was chosen to isolate the 5'- and 3'-end fragments of the pullulanase gene. The DNA sequences for these regions could also be chemically synthesized and assembled into the expression vector by methods known in the art. In general, PCR was used to amplify 147 bp of the 5'-end and 701 bp of the 3'-end and these PCR fragments were subsequently cloned into the MF $\alpha$ 1 expression vector. The 701 bp 3'-end included approximately 342 bp of the 3'-untranslated region of the pullulanase gene. This region contained the pullulanase transcriptional termination and



-15-

polyadenylation signals which were similar in structure to the yeast signals and may prove to be functional in this case.

By using PCR, the DNA sequence of eleven of the thirteen amino acids that were missing from the amino terminal end of the pullulanase cDNA clone were replaced. This was done because the enzyme may be inactive without them. In order to add a HindIII site, maintain the proper reading frame, and have the least disruption of the pullulanase gene, the initial glutamine and glycine were eliminated from the DNA sequence. Figure 1 is a diagram of the junction between the MF $\alpha$ 1 region and the first two amino acids of the pullulanase of the present invention.

The remaining 2307 bp of the pullulanase coding region was isolated from pPB/2.5pullulanase and inserted last. The pPB/pullulanase plasmid was then transformed into a suitable strain of *Saccharomyces cerevisiae* and assayed for pullulanase activity.

#### 1. Polymerase Chain Reaction.

In order to place the rice pullulanase gene under the control of the MF $\alpha$ 1 promoter, a strategy was developed in which the gene had to be assembled sequentially in three phases. Each phase was represented by a specific DNA fragment of the pullulanase gene. PCR was used to isolated two of the gene fragments. The construction of the pPB/pullulanase vector was facilitated by the presence of two unique restriction enzyme sites in the pullulanase cDNA clone, HpaI at the 5'-end and KpnI at the 3'-end.

#### 2. 3'pullulanase PCR amplification.

The 3'-end of the pullulanase clone was constructed first because the PCR product was larger (See Fig. 3). The 3'-PCR primers were: primer A, 5'--  
GGGTTCGCTTTCACAACACA (SEQ ID NO: 3) and primer B,  
5'-CGCTCGAGATGAGTATTTCTTCCAGGGTA (SEQ ID NO: 4). Primer

-16-

B contains a XhoI restriction site. The pullulanase cDNA clone was used as the target DNA for the PCR reaction. The 3'pul/PCR product (701 bp of the 3'-end) contained part of the 3'-coding region (includes the KpnI site) and the entire 3'-untranslated region of the cDNA clone. The entire 3'-untranslated region was included because both the transcriptional termination and polyadenylation signals of the rice gene were located in this region.

As a result the pullulanase gene expressed in yeast may terminate and be polyadenylated as it would be in the rice plant. In yeast, it has been reported the presence of a transcriptional termination signal increases the translational efficiency and stability of the mRNA (Zaret and Sherman, J. Mol. Biol. 177:107, 1979), resulting in greater protein production. The similarity of the transcriptional and polyadenylation signals of rice to yeast may also act to increase pullulanase production. Yeast transcription termination signals have been characterized (Romanos, et al., Yeast, 8:423 (1992)) and could be adapted for use by one skilled in the art.

### 3. 5'pullulanase PCR amplification.

PCR amplification of the 5'-end of the pullulanase (see Fig. 2) included the restoration of the DNA sequence of the missing amino acids in the cDNA clone and provided a HindIII restriction site for ligation with the MF $\alpha$ 1 promoter/secretion signal. The 5'-PCR primers were: primer A, 5'-AAGCTTTCGTGACGGATGCGAGGGCATA with a HindIII restriction site (SEQ ID NO: 5) and primer B, 5'-CTCGAGGGTACCATGAAAGGCCCCATCAGATA with a KpnI-XhoI restriction sites (SEQ ID NO: 6). By using the pullulanase genomic clone 9-2 as the PCR target DNA (rice genomic DNA could also be used), the 5'-PCR primers were designed to flank the DNA sequence of eleven of the thirteen missing amino acids and the unique HpaI site. In order to get proper in-frame reading of the  $\alpha$ -factor secretion signal and the pullulanase gene, a HindIII site was necessary at the ligation junction. By eliminating

-17-

the glutamine and glycine and beginning at the phenylalanine only two amino acids would be lost from the pullulanase gene and no extra amino acids would have to be added (see Fig. 1). As a result the 5'pul/PCR  
5 fragment was 147 bp long with a HindIII restriction site on the 5'-end and a KpnI-XhoI sites at the 3'-end.

#### 4. TA-cloning PCR fragments.

Both the 3'- and 5'-pullulanase PCR products (701 bp and 147 bp, respectively) were first subcloned into an  
10 Invitrogen (San Diego, CA) TA-cloning vector, pCR™II. This cloning system takes advantage of the activity of the thermostable polymerase used in PCR that add, in a non-template dependent manner, single dATP at the 3'-end of all duplex PCR molecules. The pCR™II vector contains  
15 a single 3'-T overhang which can directly ligate with the A-overhang of the PCR product. By taking this intermediate step, clean restriction sites were generated, which aided ligation into the MFα1 expression vector. Other methods can be envisioned, by those  
20 skilled in the art, to subclone the PCR fragments into other suitable vectors which would achieve the same results. All the fragments used for subcloning were separated by agarose gel electrophoresis, isolated by electroelution, and concentrated by column  
25 chromatography. By using these procedures the fragments were isolated free of any ligation or transformation inhibitors.

#### 5. Subcloning 3'pul/PCR into pSEY210.

The 3'pullulanase/PCR fragment was then excised from  
30 the pCR™II vector as a 755 bp HindIII/XhoI fragment ("A" in Fig. 4). This fragment carries approximately 54 bp of the pCR™II vector which was subsequently removed. The 3'-HindIII/XhoI fragment was cloned into the pSEY210 HindIII/XhoI site (the SUC2 gene is removed) and  
35 transformed into E. coli strain DH5α (Bethesda Research

-18-

Laboratories). These subclones were designated "pPB/3'pullulanase-8.6 kb".

6. Subcloning 5'pul/PCR into pPB/3'pullulanase.  
pPB/3'pullulanase ("B" in Fig. 6b), was digested  
5 with HindIII/KpnI, ligated with the 147 bp HindIII/KpnI  
5'pullulanase/PCR fragment excised from pCR™II, and  
transformed into E. coli DH5α cells. These clones were  
designated pPB/5'-3'pullulanase-8.73 kb.

7. Subcloning the 2.3 kbp Fragment of pPB/2.5  
10 Pullulanase Clone into pPB/5'-3'pullulanase.  
pPB/2.5 pullulanase and pPB/5'-3'pullulanase were  
digested with HpaI/KpnI. The 2.3 kbp pullulanase  
fragment of pPB/2.5 pullulanase was isolated and ligated  
into pPB/5'-3'pullulanase and transformed into E. coli  
15 DH5α. These clones were designated pPB/pullulanase (10.9  
kb). Fig. 5 describes this procedure.

8. Transformation of pPB/pullulanase into Yeast.  
Yeast strain SEY2102 (MATα; ura3-52; leu2-3,-112;  
his4-519 (Emr, et al., Proc. Nat'l Acad. Sci USA,  
20 80:7080, 1983)) was transformed with pPB/pullulanase  
using a procedure in which the plasmid was incubated  
overnight in the presence of the host yeast and a  
PEG/lithium acetate mixture (Elble, Biotechniques 13:18  
1992). The transformant cells were plated on to  
25 selective media the following day. After five days  
approximately 150 transformants were found.

The transformed *Saccharomyces cerevisiae* yeast  
strain SEY2102 containing the pPB/pullulanase construct  
was deposited under the terms of the Budapest Treaty on  
30 April 14, 1994 with the American Type Culture Collection,  
12301 Parklawn Drive, Rockville, Maryland 20852 under  
ATCC Accession No. 74281.

-19-

9. Analysis of pPB/pullulanase Yeast Transformants for Pullulanase Activity.

A pPB/pullulanase yeast transformant was assayed for pullulanase activity in enriched medium (YPD - 1% yeast, 2% peptone, 2% dextrose). The transformant and SEY2102 control were each grown in 200 ml of YPD media for approximately 36-40 hours; glucose was no longer present in the medium. The yeast cells were removed by centrifugation and the broth was concentrated by ammonium sulfate precipitation (60%). After five hours mixing at 4°C the precipitate was resuspended in 10 ml of 0.2N sodium acetate, pH 5.0 and dialyzed overnight against 0.2N NaOAC; pH 5.0. (The samples were further concentrated with polyethylene glycol.) Two ml of the concentrated broths of pPB/pullulanase and SEY2102 were placed in an equal volume of 0.2N NaOAC/1%pullulan and assayed for the presence of pullulanase reducing activity at 50°C. The reaction was stopped by the addition of an equal volume of 3, 5-dinitrosalicylic acid. The sample was boiled for ten minutes, diluted with ten ml of water, and read at  $A_{540nm}$ . The transformant broth showed pullulanase activity relative to the SEY2102 control. The results in Figure 6 show a linear increase in pullulanase activity over time as measured by milligram maltose equivalents. Milligram maltose equivalents were measured from a maltose calibration curve by methods known in the art. Bernfield, P., Advances in Enzymology XII (1951).

Industrial Applicability

The active pullulanase of this invention is useful in manufacturing low calorie beer and high dextrose syrup.

-20-

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

## (i) APPLICANT:

(A) NAME: Miller Brewing Company  
(B) STREET: 3939 West Highland Boulevard  
(C) CITY: Milwaukee  
(D) STATE: Wisconsin  
(E) COUNTRY: United States of America  
(F) POSTAL CODE: 53208  
(G) TELEPHONE: (414) 931-2000  
(H) TELEFAX: (414) 931-3735

(ii) TITLE OF INVENTION: Cloned Pullulanase

(iii) NUMBER OF SEQUENCES: 14

## (iv) CORRESPONDENCE ADDRESS:

(A) ADDRESSEE: Quarles & Brady  
(B) STREET: 411 East Wisconsin Avenue  
(C) CITY: Milwaukee  
(D) STATE: Wisconsin  
(E) COUNTRY: U.S.A.  
(F) ZIP: 53202-4497

## (v) COMPUTER READABLE FORM:

(A) MEDIUM TYPE: Floppy disk  
(B) COMPUTER: IBM PC compatible  
(C) OPERATING SYSTEM: PC-DOS/MS-DOS  
(D) SOFTWARE: PatentIn Release #1.0, Version #1.25

## (vi) CURRENT APPLICATION DATA:

(A) APPLICATION NUMBER: 08/132,648  
(B) FILING DATE: October 5, 1993  
(C) CLASSIFICATION: 435

## (viii) ATTORNEY/AGENT INFORMATION:

(A) NAME: Ryser, David G.  
(B) REGISTRATION NUMBER: 36,407  
(C) REFERENCE/DOCKET NUMBER: 66-005-9367-4

## (ix) TELECOMMUNICATION INFORMATION:

(A) TELEPHONE: (414) 277-5717  
(B) TELEFAX: (414) 271-3552

## (2) INFORMATION FOR SEQ ID NO:1:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 2988 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: double  
(D) TOPOLOGY: linear

-21-

(ii) MOLECULE TYPE: cDNA

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

CAGGGGTTTCG TGACGGATGC GAGGGCATACT TGGGTGACAA GGTCTCTGAT TGCCTGGAAT	60
GTTAACGATC AAGACACCTC CCTCTTCCTG TATGCAAGCA GAGATGCCAC GATGCACGTA	120
TCTGATGGGG CCATTCATGG TTATGATTCA AAAATTGAAC TCGAGCCAGA ACATGCCAGC	180
CTTCCAGACA ATGTGGCTGA GAAGTTCCCG TTTATCAGAA GTTACAGAAC CTTCAGAGTC	240
CCTAGCTCTG TTGATGTGGC GAGCCTTGTC AAATGCCAAC TGGCTGTGGC TTCTTATGAT	300
GCTCATGGGA GCGTCAAGA TGTTACTGGA TTGCAACTAC CTGGTGTATT GGATGACATG	360
TTTGCTTATA CTGGACCACT TGGTGCAGTT TTCAGTGATA AAGATGTGGA CCTCTACCTT	420
TGGGCTCCTA CAGATCAGGA TGTTAGAGTA TGCTTCTATG ATGGTCCAGC AGGACCTTTA	480
CTGCAAACTG TGCAACTCAA GGAGTTAAAT GGTGTGTGGA GTGTTACTGT ACCAAGATAC	540
CGGGAGAACC AGTACTATTT GTATGAAGTT AAGGTTTATC ATCCTAGTAC ATCACAAGTT	600
GAGAAATGTT TAGCTGATGA TCCCTATGCC AGAGGGCTTT CTGCCAATGG CACGCGGACT	660
TGGTTGGGTG ACATTAATAG TGAAACTTTA AAGCCAGCTT CCTGGGATGA ATTGTCAGAT	720
GAGAAGCCAA ACCTTGAGTC CTTCTCTGAC ATAAGCATCT ATGAGTTGCA TATTCGTGAT	780
TTCAGTGCTC ATGATAGCAC AGTGGACTGT AACTCTCGTG GAGGATTTTCG TGCATTTACA	840
TTTCAGGATT CAGCAGGAAT ACGTCACCTG AGAAAAATTGT CTGCTGCTGG CTTGACTCAT	900
GTTCAATTTGT TACCAAGCTT TCATTTTGCT AGTGTGATG ACAACACAAG CAATTGGAAA	960
CTTGTTGATG AGGCTCAGCT GGCAAACTC CCTCCAGGTT CAGATGAGCA ACAAGCTGCA	1020
ATAGTATCTA TTCAGCAAGA GGATCCTTAC AATTGGGGGT ATGACCCTGT ACTCTGGGGG	1080
GTTCCAAAAG GAAGCTATGC AAGTAACCCA GATGGTCCTA GTCGTATTAT TGAATACCGA	1140
CAGATGGTTC AGGCCCTGAA TCGCATAGGT CTTCTGTGTG TCATGGATGT TGTATACAAT	1200
CATTTAGACT CAAGTGGCCC CTTTGGTGTC TCCTCAGTGC TTGACAAGAT TGTTCCTGGA	1260
TATTACCTTA GGCGGAACGT TAATGGTCAG ATCGAAAAACA GTGCGGCTAT GAACAATACA	1320
GCAAGTGAGC ATTTTCATGGT TGATAGGTTA ATCGTGGATG ACCTTTTAAA TTGGGCAATA	1380
AATTACAAAG TTGATGGGTT CAGATTTGAT CTTATGGGGC ATATCATGAA AAATACCATG	1440
ATAAGAGCAA AATCTGCTAT TCGAAGCCTT ACGAGGGATG TACATGGAGT GGATGGTTCA	1500
AAGATAACT TGTATGGTGA AGGATGGGAC TTTGGTGAGG TTGCACAAAA TAAGCGTGGA	1560
ATAAATGCAT CCCAGATTAA TATGAGTGGC ACAGGAATTG GTAGTTTCAA CGATAGGATC	1620

-22-

CGCGATTCTG TTAATGGGGG TAATCCATTT GGTAATCCTC TACAGCAAGG CTTTCTACC	1680
GGTCTGTTCT TGGAGCCGAA TGGATATTAT CAGGGTAATG AAGCAGATAC CAGGCGTGAA	1740
CTTGCTACAT ATGCTGATCA CATAAGATC GGGCTAGCTG GTAACTGAA GGATTATGTA	1800
CTAAGAACTC ATACTGGAGA AGCTAAGAAG GGATCAGACA TTTACACTTG GGATGGATCA	1860
CCAGTTGGCT ATACTTCATC CCCTGTAGAA ACTATAAACT ATGTTTCTGC TCATGATAAT	1920
GAGACTGTGT GTGATATTGT CAGTATAAAG ACCCCAATTG GCCTCTCGAT TGATGAGAAA	1980
TGCAGGATAA ATCATGTGGC TTCAAGCATG ATCGCGTTAT CCCAGGGAAT ACCTTTCTTC	2040
CATGCTGGTG ATGAGATACT GAGATCTAAG TCACTTGATC GAGATTCATA TAATTCTGGT	2100
GATTGGTTTA ACAAGCTTGA TTTTACATAT GAAACGAACA ATTGGGGCGT AGGACTTCCT	2160
CCAAGAGATA AGAATGAAGA AAATTGGCAT TTGATAAAAC CAAGATTGGA AAACCCATCT	2220
TTCAGACCTT CAAAAAATCA CATTCTTTCT GTCTTCGATA ATTTTGTGTA CATCTTGAAG	2280
ATCAGATACT CCTCACCGCT CTTTCGTTTG AGTACAGCAA GTGACATTGA GCAAAGGGTT	2340
CGCTTTCACA ACACAGGTCC CTCGATGGTA CCAGGAGTTA TTGTCATGAG CATTAAAGAT	2400
GCTCAAAATG AAAAATGTGA AATGGCCCAG TTAGATAAAA ACTTCTCTTA TGTCGTGACG	2460
ATCTTCAATG TCTGTCCACA TGAAGTGTCT ATAGAAATCC ATGATCTTGC TTCGTTGGGG	2520
CTTGAATTAC ATCCTATTCA GGTGAATTCA TCGGATGCTC TAGTCAGGCA GTCAGCATA	2580
GAGGCGTCCA AAGGTCGATT CACCGTGCCA AGAAGAACAA CTGCAGTGTT TGTTCACCT	2640
AGATGTTGAT GCCCTTGGGA AAACGTTTCAT ATTATGTGCA AAAATATGAA TGAAGAATA	2700
GAGAAGAAAA ATCCTCAAGT TGAATATTTT TGAAGAAATA AATGGAAGAA TATGGAGAGA	2760
CTGGCTAGTA TACTAATAGA GTAATAGTAT AGTTTTAGAG AAAAAAAAAA GCATACTTGT	2820
AGTATCGCAT AAAGTGCCCA GGTTCGGCA TGCTTTGGCA TCTTTGTAAG GGTATTGTAT	2880
TGTACTGTTG TCATTATCAC ACACACNCAC AAAAAAGAC ATACTTATGT TTACATGGAA	2940
ATATGGCATG CTAAGTAAAT AAAAATGCTC CCTTTGTTTC AAAAAAA	2988

## (2) INFORMATION FOR SEQ ID NO:2:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 2982 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: double
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: Oligonucleotide



-23-

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

TTCGTGACGG ATGCGAGGGC ATACTGGGTG ACAAGGTCTC TGATTGCCTG GAATGTTAAC	60
GATCAAGACA CCTCCCTCTT CCTGTATGCA AGCAGAGATG CCACGATGCA CGTATCTGAT	120
GGGGCCATTC ATGGTTATGA TTCAAAAATT GAACTCGAGC CAGAACATGC CAGCCTTCCA	180
GACAAATGTGG CTGAGAAAGT CCCGTTTATC AGAAGTTACA GAACCTTCAG AGTCCCTAGC	240
TCTGTTGATG TCGCGAGCCT TGTGAAATGC CAACTGGCTG TCGCTTCTTA TGATGCTCAT	300
GGGAGGCGTC AAGATGTTAC TGGATTGCAA CTACCTGGTG TATTGGATGA CATGTTTGCT	360
TATACTGGAC CACTTGGTGC AGTTTTCAGT GATAAAGATG TGGACCTCTA CCTTTGGGCT	420
CCTACAGATC AGGATGTTAG AGTATGCTTC TATGATGGTC CAGCAGGACC TTTACTGCAA	480
ACTGTGCAAC TCAAGGAGTT AAATGGTGTG TGGAGTGTTA CTGTACCAAG ATACCGGGAG	540
AACCACTACT ATTTGTATGA AGTTAAGGTT TATCATCCTA GTACATCACA AGTTGAGAAA	600
TGTTTAGCTG ATGATCCCTA TGCCAGAGGG CTTTCTGCCA ATGGCACGCG GACTTGCTTG	660
GGTGACATTA ATAGTGAAAC TTAAAGCCA GCTTCCTGGG ATGAATTGTC AGATGAGAAAG	720
CCAAACCTTG AGTCCTTCTC TGACATAAGC ATCTATGAGT TGCATATTCG TGATTTCACT	780
GCTCATGATA GCACAGTGGG CTGTAACCTC CGTGGAGGAT TTCGTGCATT TACATTTCAAG	840
GATTCAGCAG GAATACGTCA CCTGAGAAAA TTGTCTGCTG CTGGCTTGAC TCATGTTTAT	900
TTGTTACCAA GCTTTCATTT TGCTAGTGTT GATGACAACA CAAGCAATTG GAAACTTGTT	960
GATGAGGCTC AGCTGGCAA ACTCCCTCCA GGTCAGATG AGCAACAAGC TGCAATAGTA	1020
TCTATTCAGC AAGAGGATCC TTACAATTGG GGGTATGACC CTGTACTCTG GGGGGTTCCA	1080
AAAGGAAGCT ATGCAAGTAA CCCAGATGGT CCTAGTCGTA TTATTGAATA CCGACAGATG	1140
GTTCAGGCCC TGAATCGCAT AGGTCTTCGT GTTGTATGAG ATGTTGTATA CAATCATTTA	1200
GAATCAAGTG GCCCCTTTGG TGTCTCCTCA GTGCTTGACA AGATTGTTCC TGGATATTAC	1260
CTTAGGCGGA ACGTTAATGG TCAGATCGAA AACAGTGCGG CTATGAACAA TACAGCAAGT	1320
GAGCATTTCA TGGTTGATAG GTTAATCGTG GATGACCTTT TAAATTGGGC AATAAATTAC	1380
AAAGTTGATG GGTTCAGATT TGATCTTATG GGGCATATCA TGAAAAATAC CATGATAAGA	1440
GCAAAATCTG CTATTCGAAG CCTTACGAGG GATGTACATG GAGTGGATGG TTCAAAGATA	1500
TACTTGTATG GTGAAGGATG GGACTTTGGT GAGGTTGCAC AAAATAAGCG TGGAATAAAT	1560
GCATCCCAGA TTAATATGAG TGGCACAGGA ATTGGTAGTT TCAACGATAG GATCCGCGAT	1620
TCTGTTAATG GGGGTAATCC ATTTGGTAAT CCTCTACAGC AAGGCTTTTC TACCGGTCTG	1680

-24-

TTCTTGAGC CGAATGGATA TTATCAGGGT AATGAAGCAG ATACCAGGCG TGAACCTGCT	1740
ACATATGCTG ATCACATACA GATCGGGCTA GCTGGTAACC TGAAGGATTA TGTACTAAGA	1800
ACTCATACTG GAGAAGCTAA GAAGGGATCA GACATTTACA CTTGGGATGG ATCACCAGTT	1860
GGCTATACTT CATCCCCTGT AGAAACTATA AACTATGTTT CTGCTCATGA TAATGAGACT	1920
GTGTGTGATA TTGTCAGTAT AAAGACCCCA ATTGGCCTCT CGATTGATGA GAAATGCAGG	1980
ATAAATCATG TGGCTTCAAG CATGATCGCG TTATCCCAGG GAATACCTTT CTTCCATGCT	2040
GGTGATGAGA TACTGAGATC TAAGTCACTT GATCGAGATT CATATAATTC TGGTGATTGG	2100
TTTAACAAGC TTGATTTTAC ATATGAAACG AACAATTGGG GCGTAGGACT TCCTCCAAGA	2160
GATAAGAATG AAGAAAATTG GCATTTGATA AAACCAAGAT TGGAAAACCC ATCTTTCAGA	2220
CCTTCAAAAA ATCACATTCT TTCTGTCTTC GATAATTTTG TTGACATCTT GAAGATCAGA	2280
TACTCCTCAC CGCTCTTTTCG TTTGAGTACA GCAAGTGACA TTGAGCAAAG GGTTCGCTTT	2340
CACAACACAG GTCCCTCGAT GGTACCAGGA GTTATTGTCA TGAGCATTAA AGATGCTCAA	2400
AATGAAAAAT GTGAAATGGC CCAGTTAGAT AAAAATTCT CTTATGTCGT GACGATCTTC	2460
AATGTCTGTC CACATGAAGT GTCTATAGAA ATCCATGATC TTGCTTCGTT GGGGCTTGAA	2520
TTACATCCTA TTCAGGTGAA TTCATCGGAT GCTCTAGTCA GGCAGTCAGC ATACGAGGCG	2580
TCCAAAGGTC GATTACCGT GCCAAGAAGA ACAACTGCAG TGTTTGTTC AACTAGATGT	2640
TGATGCCCTT GGGAAAACGT TCATATTATG TCGAAAAATA TGAATGAAGA ATAAGAGAAG	2700
AAAAATCCTC AAGTTGAATA TTTCTGAAGA AATAAATGGA AGAATATGGA GAGACTGGCT	2760
AGTATACTAA TAGAGTAATA GTATAGTTTT AGAGAAAAAA AAAAGCATAC TTGTAGTATC	2820
GCATAAAGTG CCCAGGTTTC GGCATGCTTT GGCATCTTTG TAAGGGTATT GTATTGTACT	2880
GTTGTCATTA TCACACACAC NCACAAAAAA AGACATACTT ATGTTTACAT GGAAATATGG	2940
CATGCTAAGT AAATAAAAAAT GCTCCCTTTG TTTCACAAAA AA	2982

## (2) INFORMATION FOR SEQ ID NO:3:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 20 bases
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: Oligonucleotide

-25-

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

GGGTTTCGCTT TCACAACACA

20

(2) INFORMATION FOR SEQ ID NO:4:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 29 bases
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

CGCTCGAGAT GAGTATTTCT TCCAGGGTA

29

(2) INFORMATION FOR SEQ ID NO:5:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 28 bases
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

AAGCTTTCGT GACGGATGCG AGGGCATA

28

(2) INFORMATION FOR SEQ ID NO:6:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 32 bases
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: Oligonucleotide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

CTCGAGGGTA CCATGAAAGG CCCCATCAGA TA

32

(2) INFORMATION FOR SEQ ID NO:7:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 2646 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: double
- (D) TOPOLOGY: linear

-26-

(ii) MOLECULE TYPE: cDNA

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:

CAGGGGTTCG TGACGGATGC GAGGGCATAC TGGGTGACAA GGTCTCTGAT TGCCTGGAAT	60
GTTAACGATC AAGACACCTC CCTCTTCCTG TATGCAAGCA GAGATGCCAC GATGCACGTA	120
TCTGATGGGG CCATTTCATGG TTATGATTCA AAAATTGAAC TCGAGCCAGA ACATGCCAGC	180
CTTCCAGACA ATGTGGCTGA GAAGTTCCCG TTTATCAGAA GTTACAGAAC CTTCAGAGTC	240
CCTAGCTCTG TTGATGTCGC GAGCCTTGTG AAATGCCAAC TGGCTGTCCG TTCTTATGAT	300
GCTCATGGGA GGCCTCAAGA TGTTACTGGA TTGCAACTAC CTGGTGTATT GGATGACATG	360
TTTGCTTATA CTGGACCACT TGGTGCAGTT TTCAGTGATA AAGATGTGGA CCTCTACCTT	420
TGGGCTCCTA CAGATCAGGA TGTTAGAGTA TGCTTCTATG ATGGTCCAGC AGGACCTTTA	480
CTGCAAACTG TGCAACTCAA GGAGTTAAAT GGTGTGTGGA GTGTTACTGT ACCAAGATAC	540
CGGGAGAACC AGTACTATTT GTATGAAGTT AAGGTTTATC ATCCTAGTAC ATCACAAGTT	600
GAGAAATGTT TAGCTGATGA TCCCTATGCC AGAGGGCTTT CTGCCAATGG CACGCGGACT	660
TGGTTGGGTG ACATTAATAG TGAAACTTTA AAGCCAGCTT CCTGGGATGA ATTGTCAGAT	720
GAGAAGCCAA ACCTTGAGTC CTTCTCTGAC ATAAGCATCT ATGAGTTGCA TATTCGTGAT	780
TTCAGTGCTC ATGATAGCAC AGTGGACTGT AACTCTCGTG GAGGATTTTCG TGCATTTACA	840
TTTCAGGATT CAGCAGGAAT ACGTCACCTG AGAAAATTGT CTGCTGCTGG CTTGACTCAT	900
GTTCAATTTGT TACCAAGCTT TCATTTTGCT AGTGTTGATG ACAACACAAG CAATTGGAAA	960
CTTGTTGATG AGGCTCAGCT GGCAAAACTC CCTCCAGGTT CAGATGAGCA ACAAGCTGCA	1020
ATAGTATCTA TTCAGCAAGA GGATCCTTAC AATTGGGGGT ATGACCCTGT ACTCTGGGGG	1080
GTTCCAAAAG GAAGCTATGC AAGTAACCCA GATGGTCCTA GTCGTATTAT TGAATACCGA	1140
CAGATGGTTC AGGCCCTGAA TCGCATAGGT CTTCTGTGTTG TCATGGATGT TGTATACAAT	1200
CATTTAGACT CAAGTGGCCC CTTTGGTGTG TCCTCAGTGC TTGACAAGAT TGTTCTTGGA	1260
TATTACCTTA GCGGAACGT TAATGGTCAG ATCGAAAACA GTGCGGCTAT GAACAATACA	1320
GCAAGTGAGC ATTTTCATGGT TGATAGGTTA ATCGTGGATG ACCTTTTAAA TTGGGCAATA	1380
AATTACAAAG TTGATGGGTT CAGATTTGAT CTTATGGGGC ATATCATGAA AAATACCATG	1440
ATAAGAGCAA AATCTGCTAT TCGAAGCCTT ACGAGGGATG TACATGGAGT GGATGGTTCA	1500
AAGATATACT TGTATGCTGA AGGATGGGAC TTTGGTGAGG TTGCACAAAA TAAGCGTGGA	1560
ATAAATGCAT CCCAGATTAA TATGAGTGGC ACAGGAATTG GTAGTTTCAA CGATAGGATC	1620

-27-

CGCGATTCTG TTAATGGGGG TAATCCATTT GGTAATCCTC TACAGCAAGG CTTTTCTACC	1680
GGTCTGTTCT TGGAGCCGAA TGGATATTAT CAGGGTAATG AAGCAGATAC CAGGCGTGAA	1740
CTTGCTACAT ATGCTGATCA CATAAGATC GGGCTAGCTG GTAACCTGAA GGATTATGTA	1800
CTAAGAACTC ATACTGGAGA AGCTAAGAAG GGATCAGACA TTTACACTTG GGATGGATCA	1860
CCAGTTGGCT ATACTTCATC CCCTGTAGAA ACTATAAACT ATGTTTCTGC TCATGATAAT	1920
GAGACTGTGT GTGATATTGT CAGTATAAAG ACCCCAATTG GCCTCTCGAT TGATGAGAAA	1980
TGCAGGATAA ATCATGTGGC TTCAAGCATG ATCGCGTTAT CCCAGGGAAT ACCTTTCTTC	2040
CATGCTGGTG ATGAGATACT GAGATCTAAG TCACTTGATC GAGATTCATA TAATTCTGGT	2100
GATTGGTTTA ACAAGCTTGA TTTTACATAT GAAACGAACA ATTGGGGCGT AGGACTTCCT	2160
CCAAGAGATA AGAATGAAGA AAATTGGCAT TTGATAAAAC CAAGATTGGA AAACCATCT	2220
TTCAGACCTT CAAAAAATCA CATTCTTTCT GTCTTCGATA ATTTGTTGA CATCTGAAG	2280
ATCAGATACT CCTCACCGCT CTTTCGTTTG AGTACAGCAA GTGACATTGA GCAAAGGGTT	2340
CGCTTTCACA ACACAGGTCC CTCGATGGTA CCAGGAGTTA TTGTCATGAG CATTAAAGAT	2400
GCTCAAAATG AAAAATGTGA AATGGCCCAG TTAGATAAAA ACTTCTCTTA TGTCTGACG	2460
ATCTTCAATG TCTGTCCACA TGAAGTGTCT ATAGAAATCC ATGATCTTGC TTCGTGGGG	2520
CTTGAATTAC ATCCTATTCA GGTGAATTCA TCGGATGCTC TAGTCAGGCA GTCAGCATA	2580
GAGGCGTCCA AAGGTCGATT CACCGTGCCA AGAAGAACAA CTGCAGTGTT TGTTCACCT	2640
AGATGT	2646

## (2) INFORMATION FOR SEQ ID NO:8:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 20 bases
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: oligonucleotide

## (ix) FEATURE:

- (A) NAME/KEY: modified\_base
- (B) LOCATION: 6..15
- (D) OTHER INFORMATION: /mod\_base= OTHER  
/label= Modification  
/note= "N designates the base inosine."

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:

CARGGNTTYG TNACNGAYGC

20

-28-

## (2) INFORMATION FOR SEQ ID NO:9:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 20 bases
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: oligonucleotide

## (ix) FEATURE:

- (A) NAME/KEY: modified\_base
- (B) LOCATION: 18
- (D) OTHER INFORMATION: /mod\_base= OTHER  
/label= Modification  
/note= "N designates the base inosine."

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:

TACAARCGNA TRTGMCCNGG

20

## (2) INFORMATION FOR SEQ ID NO:10:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 15 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: cDNA

## (ix) FEATURE:

- (A) NAME/KEY: CDS
- (B) LOCATION: 1..15

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:10:

GAG GCT GAA GCT TTC  
Glu Ala Glu Ala Phe  
1 5

15

## (2) INFORMATION FOR SEQ ID NO:11:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 12 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: cDNA

## (ix) FEATURE:

- (A) NAME/KEY: CDS
- (B) LOCATION: 1..12

-29-

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:11:

CAG GGG TTC GTG  
Gln Gly Phe Val

12

1

(2) INFORMATION FOR SEQ ID NO:12:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 12 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: double  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: cDNA

(ix) FEATURE:

(A) NAME/KEY: CDS  
(B) LOCATION: 1..12

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:

CTC CGA CTT CGA  
GAG GCT GA  
Glu Ala Glu Ala

12

1

(2) INFORMATION FOR SEQ ID NO:13:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 10 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: double  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: cDNA

(ix) FEATURE:

(A) NAME/KEY: CDS  
(B) LOCATION: 1..10

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:13:

AAG CAC  
AGCT TTC GTG  
Phe Val

10

1

(2) INFORMATION FOR SEQ ID NO:14:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 18 base pairs  
(B) TYPE: nucleic acid

-30-

(C) STRANDEDNESS: double  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: cDNA

(ix) FEATURE:

(A) NAME/KEY: CDS  
(B) LOCATION: 1..18

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:14:

CTC CGA CTT CGA AAG CAC  
GAG GCT GAA GCT TTC GTG  
Glu Ala Glu Ala Phe Val  
1 5

18



-31-

CLAIMS

I claim:

1. A DNA construct capable of expressing an active rice pullulanase enzyme comprising a sequence encoding the rice pullulanase enzyme, said sequence not including the nucleotides necessary to encode the first two amino acids in mature rice pullulanase, and regulatory sequences allowing expression of the coding sequence in a microorganism host, wherein said regulatory sequences are not operatively linked in nature with the rice pullulanase coding sequence.
2. The DNA construct of claim 1 wherein the regulatory sequences permit expression in yeast.
3. The DNA construct of claim 1 comprising SEQ ID NO: 2.
4. The DNA construct of claim 1 wherein the regulatory sequences include the MF $\alpha$ 1 promoter.
5. The DNA construct of claim 4 wherein the construct is contained within the pSEY210 vector.
6. The DNA construct of claim 3 wherein the regulatory sequences include the MF $\alpha$ 1 promoter.

-32-

7. A DNA construct capable of expressing an active rice pullulanase enzyme comprising a coding sequence according to that of SEQ ID NO: 7 and regulatory sequences allowing expression of the coding sequence in a microorganism host, wherein said regulatory sequences are not operatively linked in nature with the rice pullulanase coding sequence.

-33-

8. A DNA construct comprising a coding sequence homologous to that of SEQ ID NO: 7 and regulatory sequences allowing expression of the coding sequence in a microorganism host, wherein the homology is sufficient so  
5 that the construct is capable of expressing an active pullulanase enzyme and wherein said regulatory sequences are not operatively linked in nature with the homologous coding sequence.

9. An active cloned rice pullulanase, wherein the pullulanase does not contain the first two amino acids of mature rice pullulanase.

10. A microorganism containing the DNA construct of claim 3.

11. A yeast containing the DNA construct of claim 3.

12. A microorganism containing the DNA construct of claim 7.

13. A yeast containing the DNA construct of claim 7.

1/6

pSEY210

MfaI - SUC2

Pullulanase

Glu Ala Glu Ala Phe  
 -----GAG GCT GAA GCT TTC-----  
 HindIII

Gln Gly Phe Val  
 CAG GGG TTC GTG-----

MfaI prepro

Pullulanase

Glu Ala Glu Ala  
 -----GAG GCT GA  
 -----CTC CGA CTT CGA

Phe Val  
AGCT TTC GTG-----  
AAG CAC-----

MfaI

Pullulanase

Glu Ala Glu Ala Phe Val  
 -----GAG GCT GAA GCT TTC GTG-----  
 -----CTC CGA CTT CGA AAG CAC-----  
 HindIII

FIG. 1

2/6

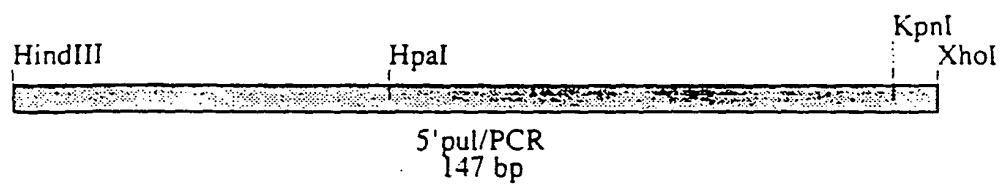


FIG. 2

3/6

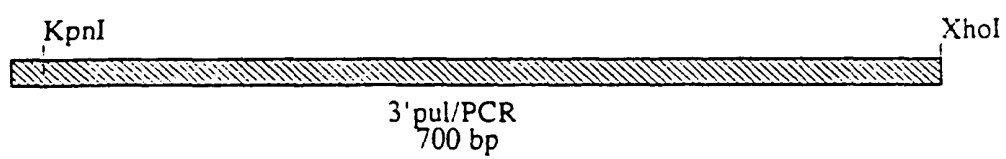


FIG. 3

4/6

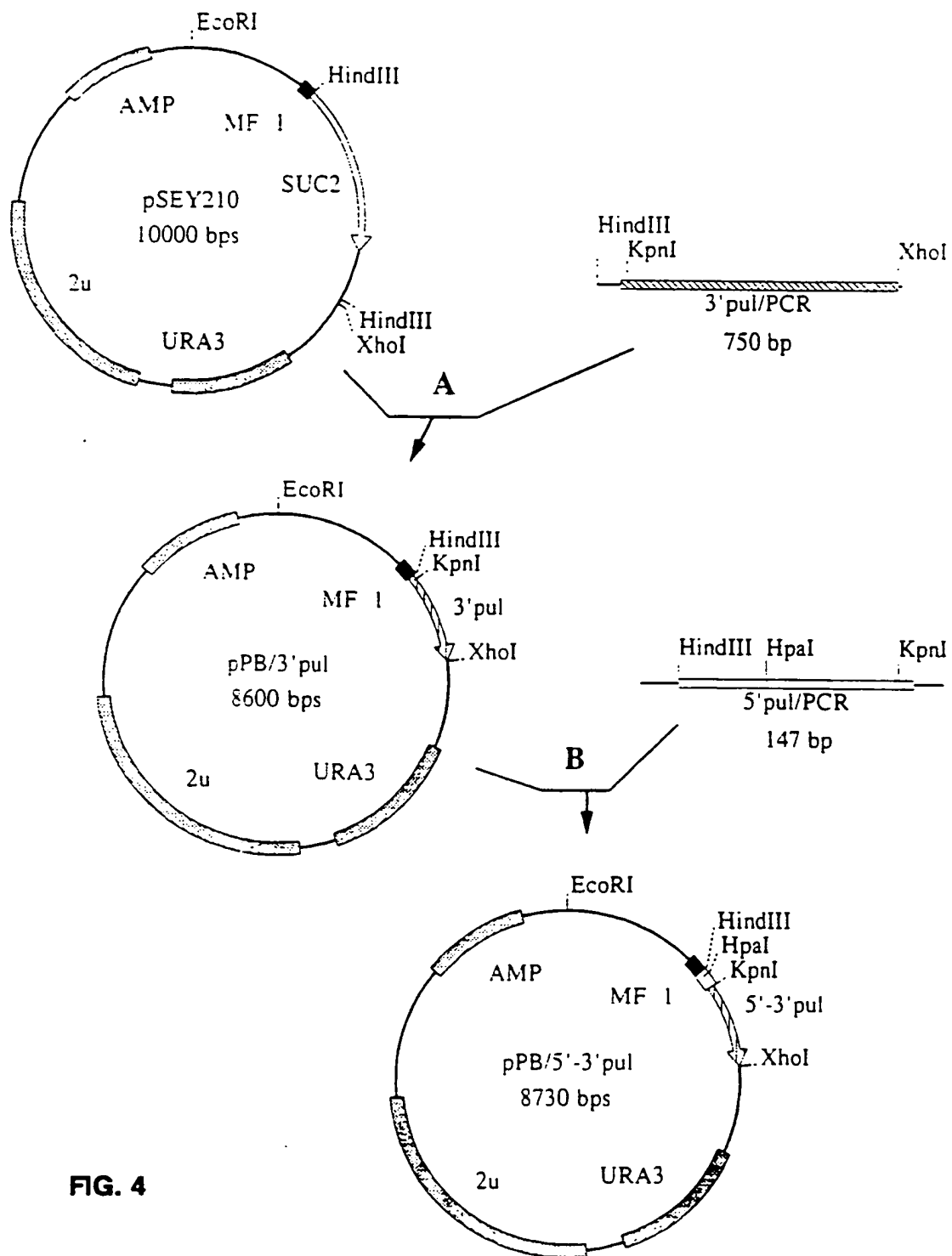
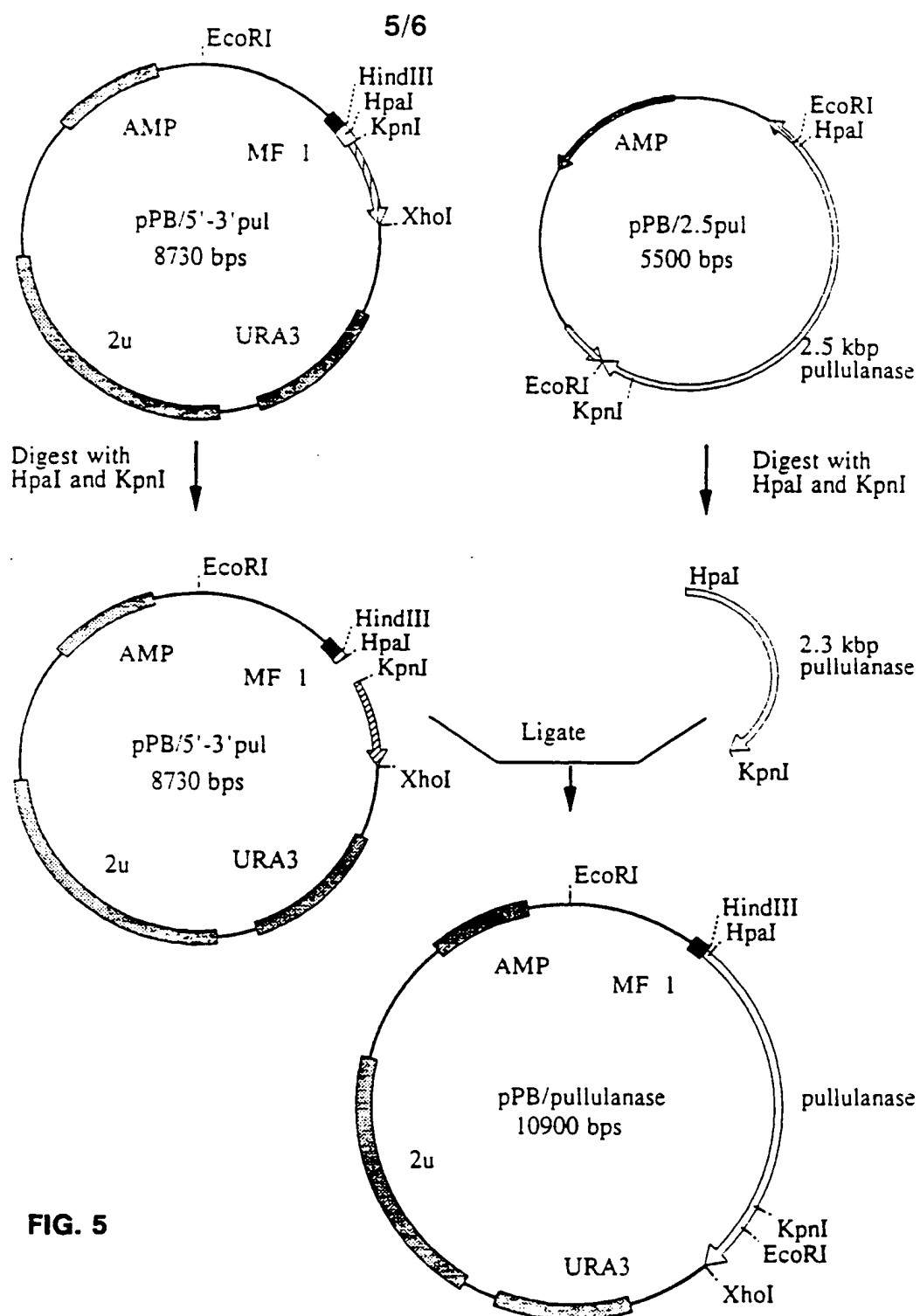


FIG. 4





6/6

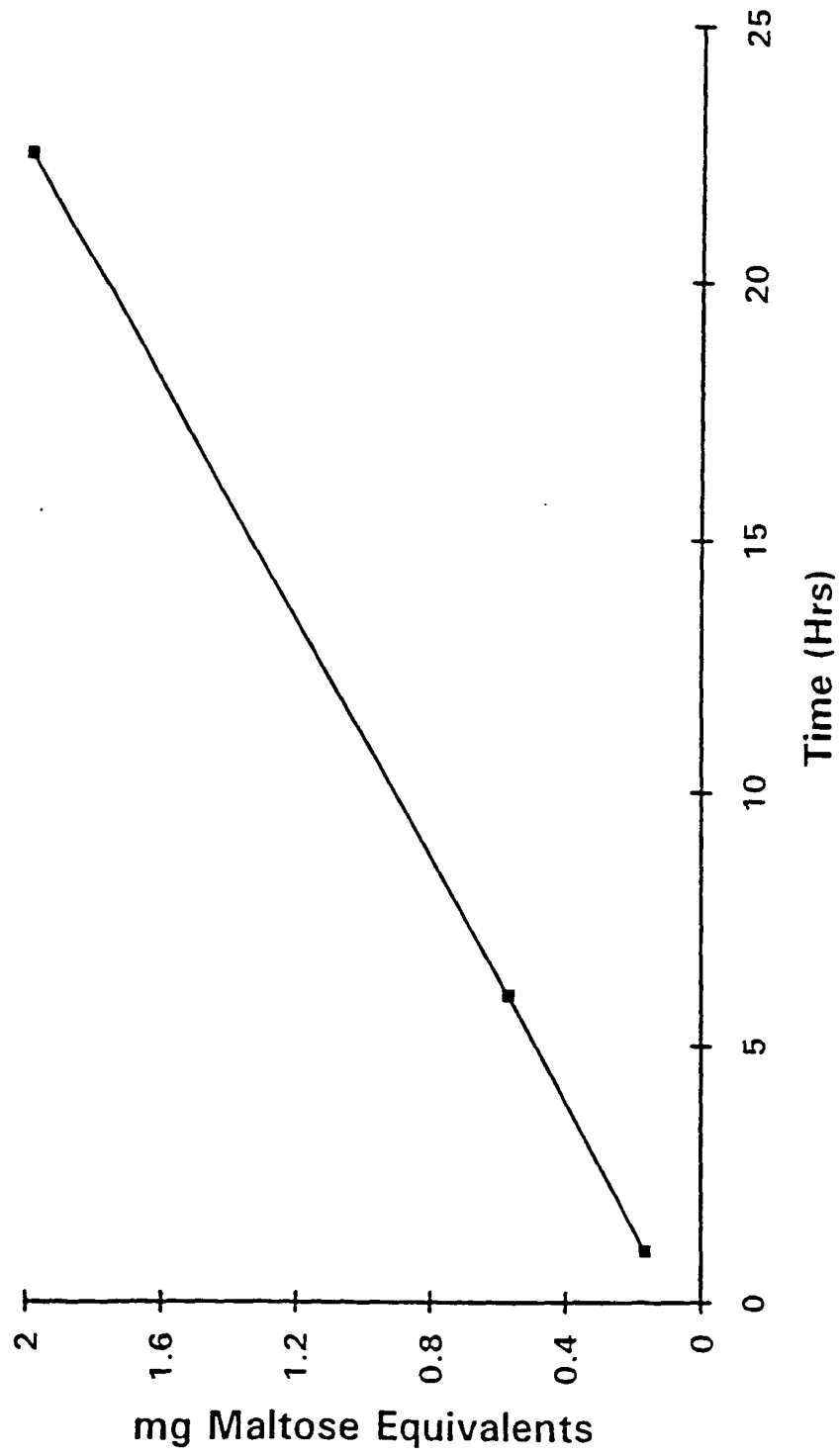


FIG. 6

## INTERNATIONAL SEARCH REPORT

 Internat'l Application No  
 PCT/US 94/11242

## A. CLASSIFICATION OF SUBJECT MATTER

 IPC 6 C12N15/62 C12N15/56 C12N15/11 C12N15/81 C12N9/44  
 C12N1/19

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C12N C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP,A,0 034 470 (CPC INTERNATIONAL INC.) 26 August 1981 see page 1, line 1 - page 2, line 4 see page 4, line 4 - page 6, line 22 see page 7, line 17 - page 8, line 11 see page 11, line 5 - line 13 ---	1,2,7-13
A	EP,A,0 127 291 (MILLER BREWING COMPANY) 5 December 1984 see page 3, line 34 - page 4, line 5 see page 4, line 13 - page 5, line 28 -----	9

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

22 February 1995

Date of mailing of the international search report

27.02.95

Name and mailing address of the ISA

 European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax (+31-70) 340-3016

Authorized officer

Montero Lopez, B

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No.

PCT/US 94/11242

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A-0034470	26-08-81	CA-A- 1183090	26-02-85
		DE-A- 3177286	17-09-92
		GB-A, B 2069503	26-08-81
		JP-A- 56128796	08-10-81
		SU-A- 1429939	07-10-88
		US-A- 4469791	04-09-84
		SU-A- 1233805	23-05-86
-----			
EP-A-0127291	05-12-84	AU-B- 564629	20-08-87
		AU-A- 2401484	22-11-84
		CA-A- 1211314	16-09-86
		JP-A- 59216598	06-12-84
		US-A- 4734364	29-03-88
-----			

